# **A Systematic Review and Bibliometric Analysis of the Scientific Literature on DEA models under the Common Set of Weights**

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*Over the past few decades, there has been a growing need to address the limitations of the Data Envelopment Analysis methodology, particularly the issue of freely selecting weights. As a result, common weight models have emerged and expanded. This article aims to provide a comprehensive overview of CSW methods, analyzing papers and bibliometric information through a systematic literature review. In this study, a total of 116 articles on CSW published between 1991 and 2022 were carefully selected and reviewed. These contributions were categorized based on specific features related to the computational technique or the main purpose of the procedure. The findings revealed that uncertain models had the highest share among the articles in the field of CSW. Furthermore, the Journal of Expert Systems with Applications emerged as the leading journal in terms of the number of publications on CSW models in DEA. The analysis of the bibliometric information of the articles was carried out using advanced software tools, including R-Studio and VOS Viewer... This review offers valuable insights and discussion, which can guide future research endeavors in this field. By addressing the limitations of DEA and exploring various CSW methods, this study contributes to the advancement of knowledge and understanding in this area.*

*Keywords: Common set of weights, Data envelopment analysis, Systematic review.*

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# **1. Introduction**

Data Envelopment Analysis (DEA) is a mathematical programming-based decision-making tool whose primary models include CCR (Charnes et al., [16]) and BCC (Banker et al., [10]) models that simultaneously consider the inputs and outputs of independent decision-making units (DMU) (Moradi et al., [78] ; Bastehzadeh & Mehrabian, [12]). Throughout the years, researchers have established multiple models within the framework of DEA, each with its own limitations and utility (Moradi et al., [79]). While these models possess distinct capabilities and fundamental features, they also confront difficulties and criticisms. The conventional DEA model allows maximum flexibility in selecting input and output weights for DMUs, enabling each unit to allocate more weight to outputs than inputs to maximize its efficiency (Moradi et al., [77]). However, this flexibility presents difficulties in comparing and ranking units, because the same units may receive different weights in efficiency assessments (Ghasemi, Mozaffari, Malkhalifeh, et al., [39]). This can lead to a situation where most units are considered efficient and cannot be effectively compared (Moradi et al., [80]). Thus, the flexibility of weight choice is both a strength and a weakness of this methodology. On the one hand, DEA allows for the determination of optimal vector weights based solely on observations of inputs and outputs without subjective judgments. On the other hand, the flexibility in weight

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assignments results in different efficiency scores for units due to variations in weightings, which is considered a weakness. This issue highlights the importance of calculating weights for input and output indices in DEA. Many researchers believe that it makes no sense to consider different weights for identical DMUs (Moradi et al., [77]). To address this concern, various models have been proposed and developed to calculate the Common Set of Weights (CSWs) for input and output variables. These models aim to increase discriminating power and provide a reliable basis for comparing DMUs. This study focuses on a systematic and comprehensive review of existing literature on CSW-DEA approaches, categorizing them into three main categories: models based on multi-objective programming, approaches based on statistical tools, and game theory. In the first category, the main objective is to find a CSW that maximizes the ratio of the weighted outputs to the weighted inputs for all DMUs. In order to improve clarity and convenience, the models are segmented into ten categories according to shared features, like calculation methodology. The second and third categories utilize statistical approaches and game theory, respectively, to calculate weights. In this study, we surveyed the DEA-CSW literature to achieve the following goals: retrieve and review the literature from 1991 to 2022 to answer bibliographic questions and identify aspects not previously studied. Although studies involving the application of existing models to datasets have been valuable, this study deliberately excludes research that only contributes to this topic by applying existing models. The remainder of this paper is organized as follows. Section 1 provides a brief introduction to this study and its objectives. Sections 2 and 3 describe the methodology for reviewing the literature and conducting the research. Section 4 deals with the bibliographic data and analysis, and Section 5 concludes the paper.

### **2. CSW in DEA models**

Classical DEA models are classified into two categories: CCR and BBC (Modhej & Dahimavi, [76]). These models were further formulated using three different approaches: fractional, multiplier, and envelopment (Emrouznejad et al., [31]). The multiplier and envelopment DEA models are considered dual to each other. Depending on the type of return to scale, each model can be presented in either input or output-oriented forms. The fractional formulation of classical models, including CCR, allows for the weights to be determined freely, enabling the optimization of input and output coefficients and maximizing the relative efficiency value of DMUs (Fugger, [35]). The mathematical structure of the CCR model is given by Equation 1.

$$
\begin{aligned}\n\text{Max } Z_{0} &= \frac{\sum_{r=1}^{s} u_{r} y_{r0}}{\sum_{i=1}^{m} v_{i} x_{i0}} \\
\text{s.t:} \qquad & \frac{\sum_{r=1}^{s} u_{r} y_{r0}}{\sum_{i=1}^{m} v_{i} x_{i0}} \le 1 \quad (j = 1, 2, \dots, n) \\
& u_{r}, v_{i} \ge 0\n\end{aligned} \tag{1}
$$

This model can be transformed into a linear program in the multiplier form, which can be represented in two ways: input-oriented (Eq. 2), and output-oriented (Eq. 3).

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$$
\begin{aligned}\n\text{Max } Z_0 &= \frac{\sum_{r=1}^s u_r y_{r0}}{\sum_{i=1}^m v_i x_{i0}} \\
\text{s.t:} \quad & \frac{\sum_{r=1}^s u_r y_{r0}}{\sum_{i=1}^m v_i x_{i0}} \le 1 \quad (j = 1, 2, \dots, n) \\
& u_r, v_i \ge 0\n\end{aligned} \tag{2}
$$

$$
Max Z_0 = \sum_{r=1}^{s} u_r y_{r0}
$$
  
st: 
$$
\sum_{i=1}^{m} v_i x_{i0} = 1
$$

$$
\sum_{r=1}^{s} u_r y_{rj} - \sum_{i=1}^{m} v_i x_{ij} \le 0 \quad (j = 1, 2, ..., n)
$$

$$
u_r, v_i \ge 0
$$
 (3)

As previously mentioned, the dual to the multiplier form is called the "envelopment" (Emrouznejad et al., [31])and can be presented in two different forms: input-oriented (Eq. 4), and output-oriented (Eq. 5).

Max Z<sub>0</sub> = θ  
\nst:  
\n
$$
\sum_{j=1}^{n} \lambda_j x_{ij} \le x_{i0} \quad (i = 1, 2, ..., m)
$$
\n
$$
\sum_{j=1}^{n} \lambda_j y_{rj} \ge \theta y_{r0} \quad (r = 1, 2, ..., s)
$$
\n
$$
\lambda_j \ge 0
$$
\n(4)

Min 
$$
Z_0 = \theta
$$
  
\nst:  
\n
$$
\sum_{j=1}^{n} \lambda_j y_{rj} \ge y_{r0} \quad (r = 1, 2, ..., s)
$$
\n
$$
\sum_{j=1}^{n} \lambda_j x_{rj} \le \theta x_{r0} \quad (i = 1, 2, ..., m)
$$
\n
$$
\lambda_j \ge 0
$$
\n(5)

The BCC model, similar to the CCR model, is presented in envelopment and multiplier forms. However, unlike the CCR model, the BCC model allows variable returns to scale. In the BCC model, a free variable  $(W_0)$  is introduced to both the objective function and all constraints. Models (6) and (7) represent the input and output-oriented envelopment models of the BCC, respectively.

$$
\begin{aligned}\n\text{Max } Z_{0} &= \theta \\
\text{st:} \qquad & \sum_{j=1}^{n} \lambda_{j} x_{ij} \leq x_{i0} \quad (i = 1, 2, \dots, m) \\
& \sum_{j=1}^{n} \lambda_{j} y_{ij} \geq \theta y_{r0} \quad (r = 1, 2, \dots, s) \\
& \sum_{j=1}^{n} \lambda_{j} = 1 \quad (j = 1, 2, \dots, n) \\
& \lambda_{j} \geq 0 \quad, \quad \theta \text{ is free}\n\end{aligned}
$$
\n
$$
(6)
$$

Min 
$$
Z_0 = \theta
$$
  
\nst:  $\sum_{j=1}^{n} \lambda_j y_{rj} \ge y_{r0}$  (r = 1, 2, ..., s)  
\t $\sum_{j=1}^{n} \lambda_j x_{rj} \le \theta x_{r0}$  (i = 1, 2, ..., m)  
\t $\sum_{j=1}^{n} \lambda_j = 1$  (j = 1, 2, ..., n)  
\t $\lambda_j \ge 0$ ,  $\theta$  is free

Although the classic models have advantages, they also have drawbacks and shortcomings. For instance, if n units exist, the linear programming model must be written and solved n times (Toloo  $\&$ Hančlová, [114]). As a result, the set of weights of the inputs and outputs will typically be different for the same DMUs. However, it has been deemed unacceptable by some researchers to consider different weights for the same DMUs (Moradi et al., [77]). Another issue with classical DEA models is the maximum flexibility in selecting the input and output weights for the DMUs (Salahi et al., [100]). This leads to most units being classified as efficient, making it impossible to make meaningful comparisons between them. Therefore, a key challenge in classical DEA is the calculation of weights for input and output indices. Over the years, researchers have proposed and developed methods to overcome these shortcomings by calculating a common set of weights for input and output variables. The main idea behind this approach is to establish a common framework for evaluating units while still maintaining an objective determination of weights in DEA models (Ruiz & Sirvent, [97]). As a result, comparisons of DMUs or selection of the best DMU occur in a fairer context. As mentioned earlier, in classical models, units are evaluated in their most favorable state, and a weighting vector is determined for each unit based on its optimal state. However, the idea of determining a CSW is to establish a weighting profile that simultaneously enhances the efficiency of all the units. This may initially result in a multi-objective planning approach. To illustrate this concept, Kao and Hung converted classical models into multi-objective programming to increase the ratio of virtual output to virtual input for n decision-making units. For more details, refer to Kao and Hung (Kao & Hung, 2005).

$$
\left(\max \frac{\sum_{r=1}^{s} u_r y_{r1}}{\sum_{i=1}^{m} v_i x_{i1}}, ..., \max \frac{\sum_{r=1}^{s} u_r y_m}{\sum_{i=1}^{m} v_i x_{i1}}\right)
$$
\ns.t. 
$$
\frac{\sum_{r=1}^{s} u_r y_{rj}}{\sum_{i=1}^{m} v_i x_{ij}} \le 1 \quad j = 1, 2, ..., n
$$
\n
$$
u_r, v_i \ge 0 \qquad r = 1, 2, ..., s \quad m = ..., 2,
$$
\n(8)

In order to provide a better understanding of the different types of models in the CSW category, it is crucial to consider the main methodology used in articles that adopt a multi-objective approach. To achieve this, we organized the CSW procedures into subgroups based on the multi-objective concept. Firstly, we examine a collection of contributions that have played a significant role in developing the formulation of the multi-objective concept. This provides a solid foundation for understanding the subsequent procedures. Next, we consider the primary computational approach employed and the main objective of each procedure as secondary criteria for subgroup classification. This allows for a more detailed analysis and comparison of the different approaches used in CSW models. Finally, we present a comprehensive review of the contributions made in the CSW field, covering a wide range of models and methodologies.

# **3. Methodology**



This study explores DEA-CSW models, focusing on the research process depicted in Figure 1. To address the research question, the process was divided into eight steps.

**Figure 1.** Steps of a systematic review

**Step 1**: We conducted a comprehensive search in two databases, Web of Science and Scopus, using the keywords "Data Envelopment Analysis" and "common AND set AND weights" in the title, abstract, and keywords fields, between 1991 to 2022. This search yielded a total of 1372 articles. A summary of the search process is provided in Table 1.

**Step 2**: We applied specific criteria to filter the raw research data, including publication date (1991 - 2022), publication type (journal), and English language.

**Step 3**: After a thorough review, we identified and removed 270 duplicate articles out of the 369 initially reviewed, resulting in the elimination of 135 duplicates from our study.

**Step 4**: We carefully examined the remaining articles and excluded any that were deemed irrelevant. Additionally, we deliberately omitted articles that merely proposed the application of an existing model to a specific dataset. Detailed results for each database can be found in Tables 1 to 3. Overall, this research process ensures a comprehensive and rigorous approach to addressing the research question at hand.









keyword	field	of Type <b>Document</b>	Language	Quantity
"Data Envelopment Analysis" AND common AND set AND weights	All	All	All	191
"Data Envelopment Analysis" AND common AND set AND weights	All	All	English	191
"Data Envelopment Analysis" AND common AND set AND weights	All	Article	English	188
"Data Envelopment Analysis" AND common AND set AND weights	Title, Abstract, Keyword	All	All	191
"Data Envelopment Analysis" AND common AND set AND weights	Title, Abstract, Keyword	All	English	191
"Data Envelopment Analysis" AND common AND set AND weights	Title, Abstract, Keyword	Article	English	188

**Table 3**. Database article by number



From this point onwards, the study was divided into two main sections: a systematic literature review and research profiling. This systematic literature review focuses on organizing the existing CSW literature published between 1991 and 2022. By analyzing various publications, the aim of this study is to provide a comprehensive research map that addresses the following key questions:

- What kind of models have researchers utilized to extract CSW?
- What kind of structures have researchers employed to extract CSW?
- Has the current model considered the concept of non-Archimedean epsilon? If so, has a solution been proposed to calculate it?
- Does the current model consider the weight of each stage?
- Which periods of time saw the most publications in the field of CSW?
- What were the prevailing subject trends in the articles surveyed in this particular domain?
- In this particular area, which researchers are at the forefront?
- In terms of article count, which publications have emerged as the most prolific in this area of study?
- In this field, which references are cited most frequently?
- What is the scientific production of researchers in the database under investigation based on Lotka and Bradford's law?
- In this field, what are the frequently used keywords?
- What does the density map of scientific production look like for researchers?

In total, 116 articles have been classified based on the types of models, structures, non-Archimedean epsilon considerations, and calculation methods used .



**Fig 2.** Map of systematic literature review

The literature on CSW-themed topics is continuously evolving and transforming. To conduct a thorough and systematic review, this study employed the SLR approach to gain insights into the future and address any gaps in knowledge in this area. Based on this approach, the models of this study were categorized into ten distinct categories, as in Contreras's study [6]. After analyzing the classification of models, the result present of the SLR in an organized manner, as depicted in Figure 2. This categorization allowed us to gain valuable insights into the current state of research in the field of CSW models.

#### **3.1. Basic models**

One particular category, known as the Basic models, focuses on the development of computational methods for determining the CSW. These methods are based on classical fractional models. The authors of the papers in this category have made significant efforts to create computationally efficient procedures for addressing the challenges of multi-objective programming. Interestingly, the models within this category have served as the foundation for numerous other articles. Additionally, different computational approaches, such as the separation process, linear approximations, and iterative algorithms, have been explored and included in this category. To provide an overview of the studies in this category, please refer to Table 4.

<b>Table 4.</b> Dasic models								
Researcher	structure	<b>Stages</b> weight	Non- Archimedean epsilon	The calculation method of non- Archimedean epsilon	Description			
(Belton $&$ Vickers, $[13]$	single- stage		✓	×	Presenting an approach based on visual interactive decision support system based on a MCHP value function and integrating multi- criteria analysis			

**Table 4.** Basic models



# **3.2. Models based on ideal and non-ideal concepts**

The CSW value is determined by minimizing its deviation from the ideal value in this category. In certain instances, the anti-ideal point is taken into account. Table 5 provides a summary of the studies conducted in this category.

Researcher	<b>Structure</b>	<b>Stages</b> weight	$non-$ Archimedean epsilon	The calculation method of non- Archimedean epsilon	Description
(Kao & Hung) (58)	single-stage		×	×	presenting a compromise solution where the calculated efficiency value is considered as the ideal point.
(G, R) Jahanshahloo et al., $[53]$	single-stage			×	First, by using the proposed model, minimizes the disagreement with the ideal values, and then the special line, which is considered linear as a reference, is defined.
(Zohrehbandian et al., $[128]$	single-stage		×	$\mathbf{x}$	Introducing a multi-criteria model, which is derived from a new linear DEA model, to improve the (Kao & Hung, 2005) model.

**Table 5.** Models based on ideal and non-ideal concepts



### **3.3. Incorporating weight value in DEA models:**

Models within this category encompass weighting schemes in DEA models. These models utilize pre-weighting schemes and the incorporation of additional constraints to determine CSW. They are developed based on the subjective preferences of decision-makers and aim to minimize disagreement regarding component weights in order to determine CSW values. Table 6 provides an overview of the studies conducted within this category.

Researcher	structure	<b>Stages</b> weight	$non-$ Archimedean epsilon	The calculation method of non- Archimedean epsilon	Description
(Roll et al., [95])	single- stage		$\pmb{\times}$	$\pmb{\times}$	provision of three models for The the incorporation of weights in DEA, encompasses the maximization of the number of efficient units, a preferred order of factors, and the determination of the central values between bounds.
(Franklin Liu & Peng, [34])	single- stage		✓	$\boldsymbol{\mathsf{x}}$	A model that takes into account and formulates subjective preferences, using virtual weight restrictions, while establishing common weighing units.
(Payan et al., [78])	single- stage		✓	×	Revision and development of the model (Franklin Liu & Peng, $2009$ in order to ensure the ranking of decision-making units and increase the differentiation of multiple optimal weights
(G. R. Jahanshahloo, Zohrehbandian, Alinezhad, et al., [54]	single- stage		✓	×	Providing an alternative approach that takes into account the decision maker's preferences.
(Saati et al., [98])	single- stage		✓	✓	This paper proposed a two-stage algorithm was proposed to determine CSW in DEA. First determined an ideal DMU, next used the central value between the weight bounds to determine the model and the non-Archimedean epsilon is the central value between the limits of the weights.
(Makui & Momeni, [73]	single- stage		✓	×	The DEA optimal weighting vectors are considered as an input of the UTA-STAR technique in this paper. The combination of multi- criteria technique UTA-STAR and CSW models is presented.
(Ramón et al., [92])	single- stage		$\pmb{\times}$	×	Presenting a method to determine CSW based on optimal vectors of weights.
(Jain et al., [56])	Network	$\overline{a}$	✓	$\boldsymbol{\mathsf{x}}$	Presenting an approach based on genetic algorithm to estimate the weight constraints in DEA
(Pourhabib Yekta et al., [88])	single- stage		$\pmb{\times}$	$\boldsymbol{\mathsf{x}}$	Proposing an alternative weight restriction approach to generate a common set of weights for all DMUs. The weight restriction approach not only generates positive weights but also prevents weight dissimilarity.
(Razipour- GhalehJough et al., [93]	single- stage		$\pmb{\times}$	×	In this paper presents a model for determining the closest possible target in the presence of weight restrictions.

**Table 6.** Incorporating weight value in DEA models

### **3.4. Models based on cross-efficiency:**

In this category, researchers employ cross-efficiency to extract CSW values. The cross-efficiency method calculates the efficiency score of each DMU based on the optimal weights of each DMU, with the primary objective of eliminating unrealistic weighting schemes without considering weight constraints. A summary of the studies in this category can be found in Table 7.

**Table 7.** Models based on cross-efficiency



#### **3.5. Preference ranking models and their aggregation solutions:**

This category explores a solution to the challenge of combining individual preferences. Decisionmakers in these categories express their preferences as ordinal rankings, aiming to establish a collective order. Table 8 provides a summary of the studies conducted in this field.

Researcher	structure	<b>Stages</b> weight	$non-$ Archimedean epsilon	The calculation method of non- Archimedean epsilon	Description
(Cook & Kress, [25]			×	×	Obtaining the common vector of weights to aggregate votes in such a way that the vector is determined by minimizing the distance between the sorted weights.
(Y. M. Wang et al., $[120]$			×	×	Presenting three models for evaluating CSW, two of which are linear programming and one nonlinear programming, all three of which end in a complete ranking of units.
(Contreras, [23])				×	Developing a method for determining a CSW based on the difference in the ordinal positions generated by the efficiency value.
(Foroughi & Aouni, [33])			✓	×	Reviewing and developing the CSW-based model for a ranked voting system and improving a two- objective model to increase the differentiation between variables
(G. Jahanshahloo et al., $[50]$			✓	×	Reviewing and Developing Liu & Hsuan Peng, (2008) model and presenting a rule to obtain a complete ranking of units.
(Hadi-Vencheh, [40]			✓		Presenting two models for evaluating CSW, both based on linear programming that culminates in complete unit ranking.
(Ebrahimnejad & Bagherzadeh, [30]			✓	×	Proposing a new approach to express the preference of voters for a set of candidates. The proposed method divides voters into several

**Table 8**. Preference ranking models and their aggregation solutions



### **3.6. Providing models with the aim of complete ranking**

In this category, the efficient units are ranked, meaning that the evaluation is not conducted on all DMUs. Instead, only a subset of units with the same rank is considered to calculate the optimal weight. Table 9 provides a summary of the papers that contribute to this approach.

Researcher	structure	<b>Stages</b> weight	non- Archimedean epsilon	The calculation method of non- Archimedean epsilon	Description
$(T_{\text{routt}}, [116])$	single- stage		×	×	Developing a maximum efficiency ratio model for the generation of a CSW, with the aim of prioritizing the efficient subset of DMUs that rank the entire set of DMU <sub>s</sub>
(Despotis, [29])	single- stage		×	$\boldsymbol{\mathsf{x}}$	Providing a model that increases the power of differentiation between efficient units and the CSW is estimated by minimizing the distance from the ideal point.
(Amin & Toloo, [3]	single- stage		$\checkmark$	$\checkmark$	Presenting a mixed integer linear programming model to evaluate the most efficient units and determining the non-Archimedean epsilon by developing the model provided by (Cook et al., [26])
(Liu & Hsuan Peng, [70])	single- stage		✓	×	Proposing a model to determine CSW value by minimizing the set of deviations from the efficient unit.
(Amirteimoori et al., [5])	single- stage		$\checkmark$	$\boldsymbol{\mathsf{x}}$	Proposing a complete ranking method for fully ranking all DMUs that makes use of a common set of weights for all DMUs.
(Ramezani- Tarkhorani et al., [91]	single- stage		$\checkmark$	$\boldsymbol{\mathsf{x}}$	Reviewing, and developing the model Liu & Peng, 2008 in order to enhance the ability of discrimination
(Toloo, [113])	single- stage		✓	✓	Presenting an integrated mixed integer linear programming model for determining the most efficient unit and determining the non-Archimedean epsilon using the model provided by (Toloo, 2013)
(Kritikos, [63])	single- stage		×	×	Presenting a linear programming model based on the topsis approach for ranking decision-making units
(Toloo et al., [115]	single- stage		✓	✓	The mixed-integer non-linear programming model is proposed to identify the most efficient DMU by utilizing a CSW. Additionally, the model is formulated to provide a suitable value for the non- Archimedean epsilon.

**Table 9.** Providing models with the aim of complete ranking

### **3.7. Presenting a model with uncertain data**

In this category, the efficient units are ranked based on their performance. In other words, the evaluation is not performed on all DMUs, and only a subset of units with the same rank is considered to calculate the optimal weight. In Table 9, the main papers contributing to this approach are summarized.

Researcher	structure	<b>Stages</b> weight	non- Archimedean epsilon	The calculation method of non- Archimedean epsilon	Description
(C. I. Chiang & Tzeng, [19])	single- stage		✓	×	Presenting a multi-objective programming model in which the efficiency of each unit is an objective function to be maximized and the CSW are calculated using the fuzzy MOP approach.
(Saati & Memariani, [99])	single- stage		✓	✓	Developing a model to determine CSW in fuzzy DEA and determining the non-Archimedean epsilon by calculating the upper bounds and compressing intervals to determine CSW
(Lee & Yeh, [64])	single- stage		×	×	Formulating a multiple criteria decision-making problem as a fuzzy multiple objective DEA model where inputs correspond to cost criteria and outputs correspond to benefit criteria
(Omrani, [84])	single- stage		✓	×	A robust optimization approach for a CSW in DEA in the presence of uncertain inputs and outputs is determined, which minimizes the distance to an ideal point.
(G, R) Jahanshahloo, Zohrehbandian. Lotfi, et al., [55])	single- stage		×	×	Determining the priority of units in interval environments using the AHP, that based on the assumption that input and output data are imprecise due to decimal truncation or rough estimation by the decision maker.
(Sun et al., [107])	single- stage		$\checkmark$	×	Presenting three interval DEA models from three different perspectives in order to obtain a common set of weights for the lower and upper bounds
(Rezaie et al., [94])	single- stage		✓	×	Calculating simultaneously the <b>CSW</b> by best and worst relative considering the efficiencies, which are integrated to rank the units completely
(Payan, [86])	single- stage		×	×	Developing a CSW model for fuzzy data, where a fuzzy efficiency score is obtained for each unit, resulting in a fuzzy ranking of alternatives.
(Azar et al., [8])	single- stage		$\checkmark$	×	Presenting a model for calculating CSW in a fuzzy context using fuzzy DEA
(Aghayi et al., [1])	single- stage		$\checkmark$	×	Presenting a robust DEA model with a CSWs under different degrees of uncertainty
(Salahi et al., $[101]$	single- stage		✓	✓	Reassessing Omrani's [84] model by using Kao and Hung's [58] model to find a CSW under interval uncertainties of inputs and outputs and determining the non-Archimedean epsilon using the model provided by (MirHassani & Alirezaee, [75]
(Puri et al., [89])	Dynamic		✓	×	Presenting a model that determines the interval efficiency and leads to the ranking of units, which provides the possibility of including undesirable outputs and common inputs.
(Shirdel et al., [105]	single- stage		$\checkmark$	×	Presenting a method to evaluate units with imprecise data in order to rank units
(Hajiagha et al., [41]	Dynamic		×	×	Presenting a multi-objective fractional model with the aim of maximizing the mean and minimizing the variance of efficiency values and proposing the fuzzy approach to solve the fractional model.
(Wen et al., [121])	single- stage		✓	✓	Developing a mixed integer linear programming model for evaluating six sigma projects with interval or imprecise data and determining the non- Archimedean epsilon using the model provided by $(Toloo, 2014)$
(Shabani et al., [103]	single- stage		×	×	Developing a CSW-based model which considers not only precise data but also imprecise data.

**Table 10.** Presenting a model with uncertain data



## **3.8. Presenting a model for allocating goals:**

In this category, the models focus on calculating additional resources or profit, as well as setting targets for inefficient DMUs. When a centralized agent is responsible for allocating these resources, it is justifiable to use a common basis to determine how much should be assigned to each unit. Table 11 provides a summary of the main contributions in this area.

Researcher	structure	<b>Stages</b> weight	$non-$ Archimedean epsilon	The calculation method of non- Archimedean epsilon	00 Description
(Bi et al., $[14]$ )	Network			$\boldsymbol{\mathsf{x}}$	Development of CSW DEA-based model to allocate resources and target setting based on DEA for the organization consisting of production units, each of which has several parallel production lines
(Liu & Tsai, [71]	single- stage			$\boldsymbol{\mathsf{x}}$	Presenting two models [CSBM-CSW] and [CSBM-G] to solve resource reallocation problems by maximizing efficiency value
(Hosseinzadeh Lotfi et al., $[48]$	single- stage			$\mathbf x$	Developing a linear model based on a common dual weights approach to allocating additional resources to inputs or target settings based on output levels
(Si et al., $[106]$ )	single- stage		×	$\boldsymbol{\mathsf{x}}$	Proposing a model for fixed cost allocation based on DEA, which shows that the cost allocations making all DMUs efficient with a can resulted from the CSW. extended proportional sharing method

**Table 11.** Presenting a model for allocating goals



### **3.9. Game theory-based approaches**

This approach entails a strategic negotiation process aimed at attaining a CSW. However, one of the primary obstacles associated with this method is its intricate nature. Game theory provides a

framework for analyzing strategic interactions between DMUs and allows for the determination of weights that consider the competitive behavior of DMUs. Some of the game theory-based models used in this category include the Non-Cooperative Game Model (NGM), the Cooperative Game Model (CGM), and the Bargaining Game Model (BGM). Table 12 summarizes the main contribution in this area.

Researcher	structure	<b>Stages</b> weight	$non-$ Archimedean epsilon	The calculation method of non- Archimedean epsilon	Description
(Rousseau & Semple, [96])			×	×	They showed that the CCR model is a two-player zero- sum game in which the first player has pure strategies corresponding to different sets of input and output weights, and the second player's strategy is the regulator.
(Nakabayashi & Tone, [81])	single- stage		×	×	They investigated consensus among organizations in the field of DEA using cooperative game theory and proposed a solution.
(Wu, Liang, & Yang, [124])	single- stage		×	×	In the cross-efficiency model, units are considered as players in a cooperative game, where the characteristic function values of the coalitions are defined to calculate the Shapley value.
(Wu, Liang, Yang, et al., [125]	single- stage		×	×	Presenting Nash Bargaining Game Theory to improve mutual efficiency evaluation method, which concludes with the computation of a CSW to rank the complete set of alternatives
$(M.$ Wang $\&$ Li, $[117]$	single- stage		×	×	Improving the previous Nash bargaining game DEA models presented by (J. Wu, Liang, Yang, et al., 2009) and solving its problems of the non-uniqueness of Nash bargaining efficiency
(Hinojosa et al., $[47]$	single- stage		×	×	Presenting a new method for ranking efficient units in DEA based on the well-known concept of cooperative game theory, namely Shapley value
(Contreras et al., $[24]$	single- stage		×	×	Presenting a new bargaining game theory approach in which two players are considered: one whose utility function matches its virtual input and another whose utility is negative virtual input.
(Q. Wang et al., $[118]$	single- stage		✓	✓	Developing a new DEA method using normalized CSW and the thought of the bargaining game, which was established solves the problem with the non-uniqueness of weights. The non-Archimedean epsilon is determined by considering the upper and lower bounds.

**Table 12.** Game theory-based approaches

# **4. Bibliometric analysis**

Upon reviewing the related article, it was found that the largest share (18%) of studies focused on "uncertain models". This subgroup of contributions incorporated new computational techniques, such as fuzzy or interval comparison, which may explain their high number. After that, "Presenting a model for allocating goals" claimed second place with 15%, while "Models based on ideal and non-ideal concepts" secured third place with 14%. "Basic models", "Incorporating weight value in DEA models" and "Providing models with the aim of complete ranking" each accounted for 8% in fourth place. "Preference ranking models and their aggregation solutions" and "Game theory- based approaches" each with 7%, "Models based on cross-efficiency" both received 7%, "Models based on cross-efficiency" received 6%, and "Statistics-based models" received 4%. Meanwhile, 78% of the studies were devoted to single-stage structures, 7% to network structures, 3% to dynamic structures, and less than 1% to dynamic network structures. Although 58% of the presented models emphasized the existence of non-Archimedean epsilon, only 15% of them offered a solution to calculate it. Such way that some researchers used the methods that were proposed in the past to calculate this issue (Amin & Toloo, [2]; MirHassani & Alirezaee, [75]; Toloo, [110]), while others determined the interval scale (Hatami-Marbini, Beigi, et al., [43]) or an exact value without specifying a particular solution (Ebrahimnejad & Bagherzadeh, [30]). Interestingly, none of the studies directly investigated the weights of the stages in the field under investigation. Therefore, according to the mentioned materials, the four basic questions, i.e. "What kind of models have researchers utilized to extract CSW?", "What kind of structures have researchers employed to extract CSW?", "Has the current model considered the concept of non-Archimedean epsilon? If so, has a solution been proposed to calculate it?", "Does the current model consider the weight of each stage?" was answered. To further analyze the data, it was uploaded to Microsoft Excel software, and two additional software tools were employed for research profiling. RStudio software was used to connect to Biblioshiny, while a VOS viewer was employed to draw the density map of the collaborative network of researchers.

1. Which periods of time saw the most publications in the field of CSW? Figure 3

2. What were the prevailing subject trends in the articles surveyed in this particular domain? Figure 4

3. In this particular area, which researchers are at the forefront? Figure 5

4. In terms of article count, which publications have emerged as the most prolific in this area of study? Figure 6

5. In this field, which references are cited most frequently? Table 14

6. What is the scientific production of researchers in the database under investigation based on Lotka and Bradford's law? Figures 6 and 7

7. In this field, what are the frequently used keywords? Figure 8

8. What does the density map of scientific production look like for researchers? Figure 9

From 1991 until 2022, there has been a consistent publication of at least one paper each year, except for the years 1993 to 1995, 1998 to 2000, and 2003. This demonstrates a sustained interest in the field since 1991. In particular, there has been a significant increase in the number of contributions between 2010 and 2020. Figure 3 visually represents the annual scientific productions in the field of CSW. This data serves as evidence of the continuous growth and significance of research in this field over the years.



**Figure 3.** Annual scientific production per year

According to Pareto analysis, 20% of the articles published between 1991 and 2012 accounted for 70 articles. This number increased to 237 articles in the period from 2014 to 2020. In 2021 and 2022,

this amount reached 38 and 30 cases, respectively. By evaluating such a trend alongside the trend topic diagram (fig 4), the authors are expected to address specific issues in the field of CSW, which include: teco-innovations, resource allocation, fixed cost allocation, and so forth. Mentioning these topics will be beneficial for researchers in future research.



Over 200 diverse co-authors have made valuable contributions to the field of CSW. However, it is noteworthy that only eight of these co-authors have published more than five articles. The high number of authors can likely be attributed to the use of ad-hoc models in specialized contexts or case studies. Figure 5 presents an analysis of the top 10 authors in the DEA-CSW field from 1991 to 2022, highlighting their significant scientific production.



**Figure 5.** Top 10 authors who published on CSW between 1991 and 2022

According to Figure 5, Hossein Zadeh Lotfi has emerged as the leading contributor in this field, publishing a total of 12 articles between 2009 and 2022. The reviewed articles have generally appeared in 55 journals. It is worth noting that the majority of these journals are refereed and pertain to operational research, including computer and management science, as well as applied mathematics. Additionally, there are journals in applied fields such as engineering and environmental science. For a comprehensive overview of the distribution of contributions, please refer to Figure 6 .



**Figure 6.** Contribution of Journals and Bradford's Law

Figure 6 illustrates that the journal "EXPERT SYSTEMS WITH APPLICATIONS" has published the highest number of articles (13) on the topic of concern. According to Bradford's Law, 40% of the articles included in the literature review are published in the top four journals, which are considered the core journals, and have the highest citation rates. Table 14 provides a list of the top ten journals with the highest number of citations in the field of CSW.



In Figure 7, the Lotka plot visualizes the number of researchers and their articles, providing insight into the degree of collaboration among the authors examined. The degree of collaboration, represented by a number between 0 and 1, indicates the level of cooperation within the group. A value

above 0.2 suggests a more favorable degree of collaboration, while a value closer to zero indicates weaker cooperation between the authors. This graphical representation sheds light on the dynamics of author collaboration in a comprehensive and informative manner .



**Figure 7.** Lotka plot

According to Figure 7, there are 179 authors who have only published a single article. Lotka's law suggests that around 60 percent of all authors fall into this category. However, in this study, the participation rate is higher, at 75 percent. Additionally, the study reveals that there are 33 authors who have published two articles, whereas according to Lotka's law, this number should be 44. Similarly, there are 14 authors who have published three articles, whereas Lotka's law predicts this number to be 19. By comparing the actual number of authors for each document with Lotka's formula, it becomes apparent that Lotka's law does not hold true in this particular field. Nevertheless, it is worth noting that in some cases, the obtained numbers only slightly differ from Lotka's predicted numbers, while in others, they remain equal. As a result of the analysis, the most often-used keywords in CSW literature have been identified and shown in Figure 8. Although the placement of words in the figure is random, the dominant keywords are positioned in the middle.



**Figure 8.** often -used keywords

According to the analysis of CSW-related literature from 1991 to 2022, it has been found that the most frequently used keywords were "Common Set of Weights" and "Data Envelopment Analysis". The VOS viewer is used to create a figure illustrating the co-authorship network of CSW researchers.

This network map reveals that researchers with more scientific connections have located closer to each other, while those with fewer connections are farther apart. The presence of a researcher at the center of the density map signifies their importance in the collaborative network of researchers. Additionally, the color spectrum, ranging from red to blue, indicates the varying density weight of nodes within the network. Furthermore, cluster analysis of the CSW researcher's co-authorship network revealed that the given network consists of 93 distinct clusters. Among the cluster consisting of the co-authorship network in CSW, Hossein Zadeh Lotfi and Jahanshahloo were the most important. The density map of researchers in terms of scientific productions of CSW is given in Figure 9.



**Figure 9.** The density map of researchers

# **5. Finding and Managerial Implications**

The current paper was created by focusing on one of the specific areas of the DEA models under the CSW. The search was made in "Scopus" and "Web of Science" source-neutral abstract and citation databases for articles published in the 1991 to 2022 time-span using "Data Envelopment Analysis" and "common AND set AND weights" as title, abstract, and keyword. Parallel to the objectives outlined in this research, 116 articles on CSW were carefully selected. All selected articles were closely examined and analyzed by taking note of items such as computational methodology and main goals, authors, approaches, and so forth. Based on the analysis, this study developed a conceptual framework of CSW, which can outline potential paths for future research in the form of a guide. The results indicated that more than 200 authors have contributed to the field of CSW, and their publications appear in 55 journals. The growing interest in the field of CSW has been on the rise over the years. This can be partly attributed to the development of new computational techniques over time, such as fuzzy or interval comparisons and the development of specific models for evaluating particular situations. The significant titles and topics covered by the leading authors of this period were outlined by topic trends. Most of the studies have used key terms such as "common set of weights" and "data envelopment analysis". Meanwhile, Hossein Zadeh Lotfi and Jahanshahloo were among the most influential authors in this field. 2020 period was associated with significant growth of CSW. By defining the core of the journals, a basis for arranging and selecting scientific journals

in CSW was established based on Bradford's law. Nevertheless, Lotka's law can't be used as a general principle at all times, according to Lotka's results, and more investigations are needed to ensure that it remains correct. RStudio tools, and the VOS viewer software were used to cluster articles, calculate citation rates, and create a co-authorship network density map. These findings may aid researchers in their pursuit of CSW-related sources and references. More than 60% of the studies emphasized the existence and importance of the non-Archimedean epsilon value; in only 15% of the cases, they provided a specific solution to determine this issue, and some of them used non-Archimedean epsilon models provided by other researchers, regardless of the type of model and data. Archimedean epsilon models, provided by other researchers, regardless of the type of model and data. However, non-Archimedean epsilon plays a crucial role in common weight models, and it must be determined correctly for the corresponding model to be practical. Finally, this study provides insights for decision-makers and managers in various industries, particularly those interested in optimizing efficiency and performance. The following are the key points to consider:

-By conducting a systematic review and bibliometric analysis, insights were provided to managers about the potential benefits of using DEA models under CSW for performance measurement purposes. This information can guide managers in developing more accurate and comprehensive performance evaluation systems for their organizations.

-By conducting an overview of various CSW models, this study provides a platform for managers to understand the performance of these models and make an informed decision about which one is most suitable for their specific needs.

-By conducting a systematic review and bibliometric analysis, insight was provided for the managers so that they could understand the current state of research and learn about the latest developments in this field.

-Using bibliometric analysis of the literature, researchers and key journals that have made significant contributions to the field of CSW models were highlighted. Managers can leverage this information to build partnerships and collaborative networks with experts, potentially leading to the exchange of ideas, knowledge sharing, and joint research projects Such collaborations can further enhance managerial decision-making and foster continuous improvement.

- Through a systematic review, insights into the evolving models of CSW were provided, and areas for future research and innovation were identified. Managers can capitalize on these opportunities by encouraging internal R&D teams to explore novel applications of CSW models. This can lead to the development of innovative approaches to performance measurement, efficiency analysis, and resource allocation.

In summary, this study on CSW models offers several managerial implications. By adopting DEA models under CSWs, managers can make informed decisions, identify best practices, promote innovation, foster collaboration, and improve their organization's overall efficiency and performance.

# **6. Conclusion**

Conclusions from the literature on CSW were challenging, both because it is widespread and because of the barriers and constraints that are present for its implementation. Although a literature review can provide a comprehensive overview of the major background, consequences of CSW, and future research opportunities for those interested in the field, there are still many areas for research. Some research opportunities are defined as follows: Researchers need to investigate computational approaches and new models for developing CSW models in various contexts. These contexts include multi-stage production processes, aggregation procedures for individual preferences, the construction of composite indicators while considering the principle of uncertainty, and providing models that assign different weights to different stages of the processes. Future research should also focus on identifying potential challenges that may arise when selecting weights for different stages of a process in a CSW model. For example, what happens when there are conflicting preferences among stakeholders? How do we ensure that the process remains transparent and fair?. Therefore, these cases are examples of gaps in this field that have received less attention, and they represent significant research challenges. On the other hand, although non-Archimedean epsilon plays a decisive role in common weight models and its lack of precise selection renders the relevant model useless, only a small percentage of studies have focused on it. Researchers interested in this field of study should pay attention to these issues and try to cover the research gap of CSW. In addition, presenting a trend chart of topics can be useful for identifying up-to-date subjects for future academic research.

#### **Limitation of the literature review**

This literature review had some limitations, they can be divided into three categories:

1- the two databases «Web of Science» and «Scopus» were solely used for the literature review. our efforts focused on articles published in English academic journals and avoided articles published in other languages and other publications (such as conference papers).

2- it is possible that some articles in publications that were related to the research subject, but used different keywords were omitted from the study due to the search method, which was through the keywords «Data Envelopment Analysis» and «Common set of weights».

Finally, the present study, considering the limitations mentioned, has provided significant findings for academic research.

#### **Declaration of competing interest**

We confirm that the manuscript has been read and approved by all named authors and that there are no other persons who satisfied the criteria for authorship but are not listed. We further confirm that the order of authors listed in the manuscript has been approved by all of us. This study also does not violate other relevant ethical issues.

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